



# Administrative Office of the U.S. Courts

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## Total Bankruptcies Filed Rise in June

In the 12-month period ending June 30, 2001, bankruptcy filings rose 8.6 percent, according to data released today by the Administrative Office of the U.S. Courts. Filings in the 12-month period ending June 30, 2001, totaled 1,386,606, an increase over the 1,276,922 bankruptcies filed in the 12-month period ending June 30, 2000.

Increases in both business and non-business filings were reported. Non-business or personal bankruptcy filings rose from 1,240,012 in 2000 to 1,349,471 in the 12-month period ending June 30, 2001, a 8.8 percent increase. Business filings rose from 36,910 to 37,135 in the same period, a 0.6 percent increase.

Since June 1997, total bankruptcy filings have increased 5.3 percent and non-business filings have increased over 6.8 percent. However, in that same time, business bankruptcy filings have declined over 31 percent.

### Business and Non-Business Filings, Years Ended June 30, 1997-2001

<u>Year</u>	<u>Total</u>	<u>Non-Business</u>	<u>Business</u>
2001	1,386,606	1,349,471	37,135
2000	1,276,922	1,240,012	36,910
1999	1,391,964	1,352,030	39,934
1998	1,429,451	1,379,249	50,202
1997	1,316,999	1,263,006	53,993

### Filings by Chapter

Of the total number of bankruptcy filings for the 12-month period ending June 30, 2001, there were 972,659 Chapter 7 filings, a 9.8 percent increase over the 885,447 Chapter 7 filings, for the same period in 2000. The next largest group of filings were Chapter 13 filings at 403,418, a 5.9 percent increase over the 380,770 filings in the 12-month period ending June 30, 2000. Chapter 11 filings rose 3.3 percent, from 9,947 in 2000 to 10,272 in 2001.

Chapter 12 filings fell in this period, from 732 in the 12-month period ending June 30, 2000, to 206 in the 12-month period ending June 30, 2001. The steep decline in chapter 12 filings was caused by the expiration of Chapter 12. The authority to file under that chapter expired on July 1, 2000. It was re-enacted retroactively and extended through May 2001 when the President signed P. L. 107-8 on May 11, 2001. Chapter 12 expired again at the end of May 2001 but was re-enacted (again, retroactively) and extended through September 2001 when the President signed P. L. 107-17 on June 26.

(MORE)

### Filings by Quarter

The number of bankruptcy cases filed during the third quarter of the Judiciary's 2001 fiscal year (April 1, 2001—June 30, 2001) totaled 400,394, a 24.5 percent increase over the 321,729 bankruptcy third quarter filings for 2000. The number of bankruptcies filed during the second quarter of fiscal year 2001 (January 1, 2001—March 31, 2001) was 366,841. First quarter filings for FY 2001 (October 1, 2000 — December 31, 2000) totaled 310,169.

### Total Bankruptcy Filings by Bankruptcy Chapter Years Ended June 30, 1997-2001

<u>Year</u>	<u>Chapter</u>			
	<u>7</u>	<u>11</u>	<u>12</u>	<u>13</u>
2001	972,659	10,272	206	403,418
2000	885,447	9,947	732	380,770
1999	993,414	8,684	829	389,004
1998	1,015,453	9,613	845	403,501
1997	917,274	11,159	1,006	387,521

### Bankruptcy Chapters

Chapter 7 is designed to allow individuals to keep certain exempt property while the remaining property is sold to repay creditors. Under Chapter 13 bankruptcy, creditors may be repaid in installments, in full or in part, over a 3- to 5-year period. Chapter 11 provides for a business to continue operations while formulating a plan to repay its creditors. Chapter 12 is designed to meet the needs of financially distressed family farmers. For additional information on bankruptcy basics, visit the following website: [www.uscourts.gov/bankbasic.pdf](http://www.uscourts.gov/bankbasic.pdf)

A breakdown of the latest data on bankruptcy filings follows and is also available on the Judiciary's website at [www.uscourts.gov](http://www.uscourts.gov) under *Newsroom* or *Judiciary Now*.

### Quarterly Bankruptcy Filings\*

<b>Ending</b>	<b>Total Filings</b>	<b>Ending</b>	<b>Total Filings</b>	<b>Ending</b>	<b>Total Filings</b>
<b>MAR. 31, 2001</b>	<b>366,841</b>	SEPT. 30, 1997	353,515	MAR. 31, 1994	206,565
DEC. 31, 2000	310,169	JUN. 30, 1997	367,168	DEC. 31, 1993	206,570
SEPT. 30, 2000	308,718	MAR. 31, 1997	335,073	SEPT. 30, 1993	215,498
JUN. 30, 2000	321,729	DEC. 31, 1996	311,131	JUN. 30, 1993	229,406
MAR. 31, 2000	312,335	SEPT. 30, 1996	303,309	MAR. 31, 1993	222,694
DEC. 31, 1999	318,634	JUN. 30, 1996	297,162	DEC. 31, 1992	228,562
SEPT. 30, 1999	323,550	MAR. 31, 1996	266,149	SEPT. 30, 1992	236,810
JUN. 30, 1999	345,956	DEC. 31, 1995	244,494	JUN. 30, 1992	250,622
MAR. 31, 1999	330,784	SEPT. 30, 1995	233,593	MAR. 31, 1992	252,733
DEC. 31, 1998	353,108	JUN. 30, 1995	235,302	DEC. 31, 1991	234,383
SEPT. 30, 1998	361,205	MAR. 31, 1995	212,626	SEPT. 30, 1991	231,743
JUN. 30, 1998	373,460	DEC. 31, 1994	201,618	JUN. 30, 1991	246,496
MAR. 31, 1998	354,118	SEPT. 30, 1994	208,187	MAR. 31, 1991	231,017
DEC. 31, 1997	347,685	JUN. 30, 1994	216,213		

\*Because of ongoing corrections to the bankruptcy database, the quarterly data may not total to the most recent annual figures.

**TABLE F-2 U.S. BANKRUPTCY COURTS  
BUSINESS AND NONBUSINESS BANKRUPTCY CASES COMMENCED, BY CHAPTER OF THE BANKRUPTCY CODE,  
DURING THE 12-MONTH PERIOD ENDING JUNE 30, 2001**

CIRCUIT AND DISTRICT	TOTAL FILINGS	TOTAL				TOTAL NON-					CHAP. 7	CHAP. 11	CHAP. 13	
		CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS*	CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13				BUSINESS FILINGS
<b>TOTAL...</b>	1,386,606	972,659	10,272	206	403,418	37,135	21,935	9,527	206	5,422	1,349,471	950,724	745	397,996
<b>DC.....</b>	2,457	1,670	36	-	751	47	11	32	-	4	2,410	1,659	4	747
<b>1ST...</b>	44,850	30,930	311	1	13,608	1,155	815	291	1	48	43,695	30,115	20	13,560
<b>ME.....</b>	4,375	4,029	29	1	316	177	128	29	1	19	4,198	3,901	-	297
<b>MA.....</b>	17,049	14,676	151	-	2,222	373	215	151	-	7	16,676	14,461	-	2,215
<b>NH.....</b>	3,861	3,544	20	-	297	316	289	20	-	7	3,545	3,255	-	290
<b>RI.....</b>	4,759	4,386	12	-	361	69	56	10	-	3	4,690	4,330	2	358
<b>PR.....</b>	14,806	4,295	99	-	10,412	220	127	81	-	12	14,586	4,168	18	10,400
<b>2ND...</b>	79,023	63,854	1,450	9	13,686	2,657	1,039	1,381	9	206	76,366	62,815	69	13,480
<b>CT.....</b>	11,310	9,772	95	2	1,441	166	82	81	2	1	11,144	9,690	14	1,440
<b>NY,N...</b>	14,894	12,233	57	5	2,598	417	266	56	5	90	14,477	11,967	1	2,508
<b>NY,E...</b>	25,040	19,649	267	-	5,124	379	123	251	-	5	24,661	19,526	16	5,119
<b>NY,S...</b>	14,142	11,564	979	-	1,577	1,167	193	944	-	8	12,975	11,371	35	1,569
<b>NY,W...</b>	11,971	9,141	49	1	2,779	442	314	46	1	81	11,529	8,827	3	2,698
<b>VT.....</b>	1,666	1,495	3	1	167	86	61	3	1	21	1,580	1,434	-	146
<b>3RD...</b>	93,539	62,849	1,962	3	28,725	3,470	1,349	1,867	3	251	90,069	61,500	95	28,474
<b>DE.....</b>	3,975	1,807	1,189	-	979	1,245	58	1,187	-	-	2,730	1,749	2	979
<b>NJ.....</b>	40,286	26,019	382	2	13,883	711	375	327	2	7	39,575	25,644	55	13,876
<b>PA,E...</b>	22,906	13,306	169	-	9,431	330	161	152	-	17	22,576	13,145	17	9,414
<b>PA,M...</b>	11,711	9,267	72	1	2,371	753	481	67	1	204	10,958	8,786	5	2,167
<b>PA,W...</b>	14,593	12,399	145	-	2,049	419	268	129	-	22	14,174	12,131	16	2,027
<b>VI.....</b>	68	51	5	-	12	12	6	5	-	1	56	45	-	11

CIRCUIT AND DISTRICT 4TH...	TOTAL FILINGS	TOTAL				TOTAL NON-								
		CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS*	CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS	CHAP. 7	CHAP. 11	CHAP. 13
	126,581	82,102	598	8	43,870	2,551	1,672	517	8	351	124,030	80,430	81	43,519
MD.....	33,685	23,266	146	-	10,273	729	475	128	-	126	32,956	22,791	18	10,147
NC,E....	12,605	6,010	50	5	6,539	238	120	43	5	69	12,367	5,890	7	6,470
NC,M....	10,203	3,736	32	-	6,435	161	107	32	-	22	10,042	3,629	-	6,413
NC,W....	7,911	3,869	44	1	3,997	105	61	41	1	2	7,806	3,808	3	3,995
SC.....	13,004	6,173	85	-	6,746	136	60	67	-	9	12,868	6,113	18	6,737
VA,E....	27,419	19,627	155	-	7,636	295	159	123	-	12	27,124	19,468	32	7,624
VA,W....	11,806	10,032	38	1	1,734	569	456	35	1	76	11,237	9,576	3	1,658
WV,N....	3,964	3,721	17	1	225	137	102	17	1	17	3,827	3,619	-	208
WV,S....	5,984	5,668	31	-	285	181	132	31	-	18	5,803	5,536	-	267
5TH...	115,389	65,584	1,047	16	48,737	3,808	2,042	995	16	750	111,581	63,542	52	47,987
LA,E....	9,653	6,365	68	-	3,220	141	78	61	-	2	9,512	6,287	7	3,218
LA,M....	3,040	2,246	12	-	782	28	17	11	-	-	3,012	2,229	1	782
LA,W....	12,718	6,712	61	1	5,944	512	294	59	1	158	12,206	6,418	2	5,786
MS,N....	7,373	4,641	21	2	2,709	95	63	21	2	9	7,278	4,578	-	2,700
MS,S....	13,468	8,358	81	1	5,028	185	98	76	1	10	13,283	8,260	5	5,018
TX,N....	23,555	12,366	276	9	10,904	969	529	265	9	166	22,586	11,837	11	10,738
TX,E....	10,014	5,401	66	1	4,546	442	230	62	1	149	9,572	5,171	4	4,397
TX,S....	18,747	10,256	323	1	8,165	963	463	313	1	184	17,784	9,793	10	7,981
TX,W....	16,821	9,239	139	1	7,439	473	270	127	1	72	16,348	8,969	12	7,367
6TH...	185,009	127,032	897	7	57,071	3,513	2,227	816	7	461	181,496	124,805	81	56,610
KY,E....	10,528	9,116	93	-	1,319	342	210	92	-	40	10,186	8,906	1	1,279
KY,W....	13,568	10,991	50	-	2,527	145	93	50	-	2	13,423	10,898	-	2,525
MI,E....	29,006	20,898	144	-	7,963	342	194	137	-	10	28,664	20,704	7	7,953
MI,W....	12,832	9,687	52	2	3,091	245	164	52	2	27	12,587	9,523	-	3,064
OH,N....	33,005	26,893	153	-	5,959	1,194	862	147	-	185	31,811	26,031	6	5,774
OH,S....	30,604	24,012	93	4	6,494	509	330	86	4	88	30,095	23,682	7	6,406
TN,E....	17,756	10,525	67	1	7,163	313	196	61	1	55	17,443	10,329	6	7,108
TN,M....	13,422	8,288	118	-	5,016	211	105	84	-	22	13,211	8,183	34	4,994
TN,W....	24,288	6,622	127	-	17,539	212	73	107	-	32	24,076	6,549	20	17,507

CIRCUIT AND DISTRICT 7TH...	TOTAL FILINGS	TOTAL					TOTAL NON-							
		CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS*	CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS	CHAP. 7	CHAP. 11	CHAP. 13
	131,514	100,933	435	37	30,109	2,536	1,802	393	37	304	128,978	99,131	42	29,805
IL,N....	46,652	32,155	186	1	14,310	646	456	172	1	17	46,006	31,699	14	14,293
IL,C....	13,160	11,201	30	16	1,913	154	97	29	16	12	13,006	11,104	1	1,901
IL,S....	8,368	6,008	26	10	2,324	563	386	23	10	144	7,805	5,622	3	2,180
IN,N....	16,566	13,948	60	1	2,557	167	94	52	1	20	16,399	13,854	8	2,537
IN,S....	26,455	20,557	72	2	5,824	317	227	64	2	24	26,138	20,330	8	5,800
WI,E....	13,564	11,119	12	-	2,433	207	174	12	-	21	13,357	10,945	-	2,412
WI,W....	6,749	5,945	49	7	748	482	368	41	7	66	6,267	5,577	8	682
8TH...	86,026	64,466	325	35	21,199	3,062	2,240	306	35	480	82,964	62,226	19	20,719
AR,E....	12,489	6,462	29	-	5,998	160	65	26	-	69	12,329	6,397	3	5,929
AR,W....	7,246	4,982	11	-	2,253	109	70	11	-	28	7,137	4,912	-	2,225
IA,N....	4,098	3,937	9	1	151	190	170	9	1	10	3,908	3,767	-	141
IA,S....	5,832	5,335	14	3	480	78	59	14	3	2	5,754	5,276	-	478
MN.....	16,941	13,774	55	7	3,105	1,725	1,382	55	7	281	15,216	12,392	-	2,824
MO,E....	16,067	10,272	82	4	5,709	224	122	74	4	24	15,843	10,150	8	5,685
MO,W....	12,318	9,892	43	3	2,379	172	100	38	3	30	12,146	9,792	5	2,349
NE.....	6,512	5,487	54	12	959	130	55	52	12	11	6,382	5,432	2	948
ND.....	2,134	2,052	15	5	62	113	83	15	5	10	2,021	1,969	-	52
SD.....	2,389	2,273	13	-	103	161	134	12	-	15	2,228	2,139	1	88
9TH...	260,708	210,378	1,855	37	48,427	8,489	5,052	1,674	37	1,718	252,219	205,326	181	46,709
AK.....	1,476	1,328	19	-	129	115	79	19	-	17	1,361	1,249	-	112
AZ.....	22,806	18,310	310	1	4,183	770	380	284	1	105	22,036	17,930	26	4,078
CA,N....	19,240	13,587	201	2	5,448	1,033	549	191	2	290	18,207	13,038	10	5,158
CA,E....	31,216	26,593	111	12	4,499	1,181	804	97	12	267	30,035	25,789	14	4,232
CA,C....	84,473	69,103	553	1	14,814	2,366	1,700	493	1	170	82,107	67,403	60	14,644
CA,S....	12,991	10,256	56	-	2,677	166	114	45	-	5	12,825	10,142	11	2,672
HL.....	4,780	4,271	30	-	478	68	47	17	-	3	4,712	4,224	13	475
ID.....	7,857	6,531	73	5	1,247	279	159	66	5	48	7,578	6,372	7	1,199
MT.....	3,710	3,251	18	1	440	132	93	16	1	22	3,578	3,158	2	418
NV.....	16,266	11,990	228	2	4,046	433	176	218	2	37	15,833	11,814	10	4,009
OR.....	20,913	17,670	31	5	3,207	1,246	531	31	5	679	19,667	17,139	-	2,528
WA,E....	9,560	7,204	44	7	2,305	341	247	34	7	53	9,219	6,957	10	2,252
WA,W....	25,198	20,104	174	1	4,919	330	153	156	1	20	24,868	19,951	18	4,899
GUAM...	199	158	6	-	35	24	16	6	-	2	175	142	-	33
NMI.....	23	22	1	-	-	5	4	1	-	-	18	18	-	-

CIRCUIT AND DISTRICT 10TH..	TOTAL FILINGS	TOTAL				TOTAL NON-								
		CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS*	CHAP. 7	CHAP. 11	CHAP. 12	CHAP. 13	BUSINESS FILINGS	CHAP. 7	CHAP. 11	CHAP. 13
	79,714	64,385	337	26	14,965	2,669	1,983	324	26	335	77,045	62,402	13	14,630
CO.....	17,358	14,917	82	3	2,356	437	327	82	3	25	16,921	14,590	-	2,331
KS.....	12,751	10,560	48	5	2,138	197	121	46	5	25	12,554	10,439	2	2,113
NM.....	7,976	6,847	53	2	1,074	556	421	51	2	82	7,420	6,426	2	992
OK,N....	5,569	5,010	28	2	529	502	423	27	2	50	5,067	4,587	1	479
OK,E....	4,082	3,800	15	2	265	99	75	15	2	7	3,983	3,725	-	258
OK,W....	12,221	10,085	31	11	2,093	379	293	30	11	44	11,842	9,792	1	2,049
UT.....	17,362	10,916	67	1	6,378	447	284	65	1	97	16,915	10,632	2	6,281
WY.....	2,395	2,250	13	-	132	52	39	8	-	5	2,343	2,211	5	127
11TH..	181,796	98,476	1,019	27	82,270	3,178	1,703	931	27	514	178,618	96,773	88	81,756
AL,N....	22,531	9,495	91	-	12,945	234	117	70	-	47	22,297	9,378	21	12,898
AL,M....	7,932	3,564	21	-	4,347	145	89	19	-	37	7,787	3,475	2	4,310
AL,S....	6,090	2,731	33	1	3,325	58	21	30	1	6	6,032	2,710	3	3,319
FL,N....	5,846	4,585	41	1	1,219	86	43	35	1	7	5,760	4,542	6	1,212
FL,M....	44,971	32,277	278	5	12,410	899	542	257	5	94	44,072	31,735	21	12,316
FL,S....	29,552	20,753	309	-	8,490	682	394	286	-	2	28,870	20,359	23	8,488
GA,N....	34,952	14,831	171	1	19,947	828	399	164	1	262	34,124	14,432	7	19,685
GA,M....	16,116	6,732	35	17	9,332	121	41	34	17	29	15,995	6,691	1	9,303
GA,S....	13,806	3,508	40	2	10,255	125	57	36	2	30	13,681	3,451	4	10,225

\* THESE FIGURES INCLUDE THE FOLLOWING CASES NOT REFLECTED ELSEWHERE:

NY,N....	SECTION 304 = 01	NY,S...	SECTION 304 = 22	NY,W...	SECTION 304 = 01
NC,E....	CHAPTE 9 = 01	VA,E...	SECTION 304 = 01	VA,W...	SECTION 304 = 01
TX,S....	CHAPTE 9 = 02	TX,W...	CHAPTE 9 = 02	TX,W...	SECTION 304 = 01
MI,E....	SECTION 304 = 01	OH,S...	SECTION 304 = 01	MO,W...	CHAPTE 9 = 01
AZ.....	SECTION 304 = 02	CA,N...	CHAPTE 9 = 01	CA,N...	SECTION 304 = 01
CA,E....	CHAPTE 9 = 01	CA,C...	SECTION 304 = 02	CA,S...	SECTION 304 = 02
HI.....	SECTION 304 = 01	ID.....	CHAPTE 9 = 01	OK,W...	CHAPTE 9 = 01
FL,M....	SECTION 304 = 01	GA,N...	SECTION 304 = 02	GA,S...	SECTION 304 = 01